

Warehouse Quality & Compliance Self-Assessment

Score it. Spot the gaps. Build a plan to close them.

Warehouse quality problems rarely show up where you're looking — a missed inspection at goods-in, a non-conformance that never gets root-caused, a recall procedure nobody has tested. This self-assessment gives you a structured way to check where you stand across five areas of warehouse quality control, aligned to ISO 9001 principles.

Every warehouse is different. This assessment shows you exactly where to focus.

How to Use This Assessment

Give a score from 1 to 5 to each item listed on the assessment areas below. Then, collect the scores and compare the total against the results interpretation table at the end of this document.

Scoring Guidance

Score	Maturity	Description
1	Ad Hoc	Informal or undocumented
2	Reactive	Basic controls, mostly reactive
3	Defined	Documented but manual
4	Controlled	Measured and system supported
5	Optimised	Automated and continuously improved

Goods Receipt & Supplier Compliance

Assessment Area	Score (1-5)
Incoming goods are inspected against defined quality criteria.	_____
Supplier documentation is checked before goods are accepted.	_____
Lot, batch, or serial information is consistently recorded.	_____
Failed inspections are documented and tracked.	_____
Non-conforming goods are immediately isolated from available inventory.	_____

Section Score: _____ / 25

Inventory Quality Control

Assessment Area	Score (1-5)
Quality inspections are performed on inventory held in stock.	_____
Inspection frequency is based on risk, product type, or supplier performance.	_____
Damaged or suspect inventory is clearly identified and controlled.	_____
Warehouse staff can easily see the quality status of inventory.	_____
Quality issues trigger corrective actions and follow-up activities.	_____

Section Score: _____ / 25

Non-Conformance Management

Assessment Area	Score (1-5)
Non-conformance reports are generated consistently.	_____
Root causes are investigated and documented.	_____
Corrective actions are assigned and tracked to completion.	_____
Supplier quality performance is measured.	_____
Recurring quality issues are analyzed and reduced over time.	_____

Section Score: _____ / 25

Traceability & Audit Readiness

Assessment Area	Score (1-5)
Inspection records can be retrieved quickly when required.	_____
Full lot, batch, or serial traceability is maintained.	_____
Quality documentation is stored centrally.	_____
Product recall procedures are tested periodically.	_____
Audit and compliance reports can be produced without significant manual effort.	_____

Section Score: _____ / 25

Outbound Shipment Compliance

Assessment Area	Score (1-5)
Products are inspected before shipment when required.	_____
Customer-specific compliance requirements are verified.	_____
Shipping documentation is complete and accurate.	_____
Quarantined inventory cannot be shipped accidentally.	_____
Final quality approval is recorded before shipment.	_____

Section Score: _____ / 25

Overall Results

Total Score: _____ / 125

Results Interpretation

Score	Result	What it means
106-125	Best Practice	Your warehouse operations follow industry standards; you work towards obtaining ISO 9001.
81-105	Well Managed	You are using most best practices, meaning low risk of breaking compliance.
56-80	Developing	You have a moderate risk of breaking compliance.
31-55	At Risk	You are at high risk of breaking compliance in your warehouse.
0-30	Critical Risk	Your warehouse operations are exposed to critical compliance risks.

Why Advantage for Manufacturing, Warehouse & Logistics

Advantage Business Systems is a UK Microsoft Solutions Partner. For more than 25 years we've helped SMEs get more out of Microsoft Dynamics 365, Power Platform and AI, and manufacturing, warehousing and logistics businesses make up a good part of that client base. The quality-control thinking behind this assessment is the same approach we bring to client projects.



Book a free warehouse quality review with our team.

GET IN TOUCH — NO OBLIGATION, NO PRESSURE

Manufacturing.

Dynamics 365 Business Central for production planning, shop-floor scheduling and capacity.

Warehouse Management.

Stock visibility, replenishment and the quality checkpoints covered in this assessment.

Logistics & Distribution.

Supply chain visibility, automated purchasing and fulfilment, and Power BI reporting.

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